

## FORM HDP-1449 (Based on Form PTO-1449)

PATENT AND TRADEMARK OFFICE  
INFORMATION DISCLOSURE CITATION  
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Sheet 1 of 3

ATTORNEY DOCKET NO.	SERIAL NO.
8564-000045/US/DVA	DIVISIONAL OF 09/683,004
APPLICANT	
William E. RUSSELL II, et al.	
FILING DATE	GROUP
June 30, 2003	3641

## U.S. PATENT DOCUMENTS

Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date

## FOREIGN PATENT DOCUMENTS

Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation Yes No

## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

Ref. Desig.	Examiner's Initials	Document Description
	LP	Hamida, S. Ben et al. "The need for improving the exploration operators for constrained optimization problems." Proc. Of the 2000 Congress on Evolutionary Computation, San Diego, USA, 1989.
		Michalewicz, Zbigniew et al. "Genocop III: A Co-evolutionary Algorithm for Numerical Optimization Problems with Nonlinear Constraints." Proc. Second IEEE Intl. Conf. Evolutionary Computation, D.B. Fogel (ed.) IEEE Press, pp. 647-651, 1991.
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		Bäck, Thomas et al. "A Survey of Evolution Strategies." Proc. 4 <sup>th</sup> Intl. Conf. Genetic Algorithms, R.K. Belew and L.B. Booker (eds.), Morgan Kauffman, pp. 2-9, 1991.
		Joines, Jeffrey A. et al. "On the Use of Non-Stationary Penalty Functions to Solve Nonlinear Constrained Optimization Problems with GA's." Proc. 1 <sup>st</sup> IEEE Intl. Conf. Evolutionary Computation, D.B. Fogel (ed.) IEEE Press, pp. 579-584, 1994.
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		Coit, David W. et al. "Adaptive Penalty Methods for Genetic Optimization of Constrained Combinatorial Problems." INFORMS Journal on Computing, 8(2): 173-182, 1996.
		Moore, Brian R. et al. "FORMOSA-B: A BOILING WATER REACTOR IN-CORE FUEL MANAGEMENT OPTIMIZATION PACKAGE." Nuclear Technology, Vol. 126, pp. 153-169, May 1999.
	✓	Karve, A.A. et al. "FORMOSA-B: A Boiling Water Reactor In-Core Fuel Management Optimization Package II," <i>Nucl. Technol.</i> , 131, 48-68 (2000).
		Hadj-Alouane, A.B. et al. "A Genetic Algorithm for the Multiple-Choice Integer Program." Operations Research, 45 (1), pp. 92-101, 1997
	LP	Homaifar, A. et al. "Constrained Optimization via Genetic Algorithms" Simulation 62 (4), pp. 242-254, 1994.

Examiner:

Malabrich

Date Considered:

3/4/04

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MP&amp;P 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Sheet 2 of 3

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PATENT DOCUMENTS						
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	RP	5636328	06/03/1997	Kautz et al.		
		6031984	02/29/2000	Walser		
		5267346	11/30/1993	Maruyama et al.		
		5530867	06/25/1996	Beran		
		5855009	12/29/1998	Garcia et al.		
	↓	5940816	08/17/1999	Fuhrer et al.		
	RP	6272483	08/07/2001	Joslin et al.		

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Examiner: R. Palabreia Date Considered: 3/4/04

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THER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)		
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	RP	CRC Handbook of Mathematical Sciences, 5 <sup>th</sup> Edition, William H. Beyer, CRC Press, 1985.
	RP	Karve et al. "Effectiveness of BWR Control Rod Pattern Sampling Capability in the Incore Fuel Management Code FORMOSA-B", M&C'99, September 1999, Madrid Spain, pages 1459-1468.

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**Examiner:**

Flaberry

**Date Considered:**

3/4.04

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0 823 712 A1	2/1998	EUROPEAN			
198 45 988	4/1999	GERMANY			X
44 16 463	11/1994	GERMANY			X
OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)					
PATENT ABSTRACTS OF JAPAN; 04132995, publication date 5/7/1992; Shiratori Yoshitake, "Expert System Supporting Preparation of Planning for Fuel Replacement"					

**OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)**

PATENT ABSTRACTS OF JAPAN, 04132995, publication date 5/7/1992; Shiratori Yoshitake, "Expert System Supporting Preparation of Planning for Fuel Replacement".

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